PTO/SB/08B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 09/764,293 Filing Date INFORMATION DISCLOSURE January 19, 2001 STATEMENT BY APPLICANT **First Named Inventor** Jonathan SCHULL Art Unit 3621 (Use as many sheets as necessary) **Examiner Name** Firmin BACKER Attorney Docket Number Sheet 4861-6 (TWX 0427)

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
P.K		HENRY H. PERRITT, JR., Permissions Headers and Contract Law, paper for the conference on Technological Strategies For Protecting Intellectual Property In The Networked Multimedia Environment (April 2-3, 1993)	
7		ROBERT E. KAHN & VINTON G. CERF, The Digital Library Project Volume 1: The World Of Knowbots (Draft), An Open Architecture For A Digital Library System And A Plan For It's Deevelopment, Corp., for National Research Initiatives (1988)	

Examiner		Date	1/- /27
Signature	MILLE SHULL	Considered	2/2/04

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy or this form with next communication to applicant.

1 Applicant's unique clustion designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

INFORMATION DISCLOSURE CITATION LIST	Atty. Docket No.: 4861/6	Application Serial No.: 09/764,293
	Applicants: Jonathan SCHULL	
	Filing Date: January 19, 2001	Group Art Unit: 3621

U.S. PATENT DOCUMENTS

Exam. Init	Document Number	Date	Name	Class	Subclass
PiE	3,609,697	09/28/71	Blevins et al.		
	3,798,605	03/19/74	Feistel		
	3,806,882	04/23/74	Clarke		
	3,956,615	05/11/76	Anderson et al.		
	4,168,396	09/18/79	Best		
	4,253,157	02/24/81	Kirschner et al.		_
	4,278,837	07/14/81	Best		_
	4,319,079	03/09/82	Best		
	4,433,207	02/21/84	Best	-	
	4,458,315	07/03/84	Uchenick	_	
	4,593,376	06/03/86	Volk)
	4,658,093	04/14/87	Hellman		_
	4,683,553	07/28/87	Mollier		_
	4,688,169	08/18/87	Joshi		Ú
	4,740,890	04/26/88	William	/	
	4,817,140	03/28/89	Chandra et al.		
	4,827,508	05/02/89	Shear		
	4,999,806	03/12/91	Chernow et al.		_
	5,010,571	04/23/91	Katznelson		
	5,023,907	06/11/91	Johnson et al.		
,	5,103,476	04/07/92	Waite et al.		

Sheet 2 of 2 5,222,134 06/22/93 Waite et al. 5,235,642 08/10/93 Wobber et al. 5,260,999 Wyman 11/09/93 Wyman 5,438,508 08/01/95 5,479,509 Ugon 12/26/95 5,606,609 Houser et al. 02/25/97 5,629,980 05/13/97 Stefik et al. 5,634,012 05/27/97 Stefik et al. 5,638,443 06/10/97 Stefik et al. 5,765,152 06/09/98 Erickson

FOREIGN PATENT DOCUMENTS

Exam. Init.	Document Number	Date	Country	Class	Translation
			,		
i					

	OTHER DOCUMENTS (include	ling Author, Title, Date, Perti	nent Pages, etc.)
			and the second s
Examiner: //	Wa June 1	Date Considered:	11/20/03





GROUP 3600

Sheet lof 3

INFORMATION DISCLOSURE CITATION LIST

Atty. Docket No.: Application Serial No.: 4861/6 09/764,293

Applicants: Jonathan SCHULL

Filing Date: January 19, 2001 Group Art Unit: 3621

U.S. PATENT DOCUMENTS

Exam. Init	Document Number	Date	Name	Class	Subclass
Pil	6,243,692	06/05/01	Michel Floyd, et al.	705	59
	6,256,393	07/03/01	Reem Safadi, et al.	380	232
	6,266,654	07/24/01	Jonathan Schull	705	58
	6,298,317	10/02/01	Alan Wiemann	703	22
	6,343,295	01/29/02	Stewart P. MacLeod, et al.	707	103
	6,434,558	08/13/02	Stewart P. MacLeod, et al.	707	6

FOREIGN PATENT DOCUMENTS

Exam. Init.	Document Number	Date	Country	Class	Translation
P.E	EPO 0 084 441 A2	01/19/82	ЕРО	G06F 13/00	·
	EPO 0 128 672 A1	05/13/83	ЕРО	G06F 13/00	
	EPO 0 180 460 A1	05/21/85	ЕРО	H04N 7/16	
	EPO 0 197 963 B1	10/25/84	ЕРО	H01R 43/01	
	EPO 0 469 864 A2	07/31/90	ЕРО	GO6K 1/12	
	EPO 0 593 305 A2	10/16/92	ЕРО	G11B 23/28	
	GB 2,136,175 A	03/07/83	Great Britain	G4A AP	
	WO 85/02310	11/14/83	PCT	H04L 9/00	
4				GO1F 1/00	

BRMFS1 399643v1

MAY OS TRADEGUES

RECEIVED

MAY 0 8 2003

Sheet 2of 3

					Sheet 201 3
RADENDE			GROUP 3600	GO6K 5/00	
				G06F 13/06	
PE	WO 90/02382	08/16/88	РСТ	GO6K 5/00	
				GO6F 15/28, 15/44	1
	WO 93/01550	07/01/91	РСТ	GO6F 11/34	
			·	HO4L 9/00	
+4	WO 98/16882	10/11/96	PCT	GO6F 11/30, 9/44	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

P.L	ANGUITA, Davide, "Smart Adaptive Systems: State of the Art and Future Directions of Research", DIBE, University of Geneva, from the Internet at www.eunite.org, 2001
	BEST, Robert M., "Preventing Software Piracy with Crypto-Microprocessors", Digest of Papers, VLSI: New Architectural Horizons, February 25-28, 1980, pp. 466-469
	BLOM, Rolf, et al., "Encryption Methods in Data Networks", Erickson Technics, No. 3, 1976, pp. 74-105
	BRÄNDLI, Martin, "A Process-Oriented Approach for Representing Lineage Information of Spatial Data", 3 rd AGILE Conference on Geographic Information Science, Helsinki/Espoo, Finland, May 25-27, 2000, pp. 126-130
	DIFFIE, Whitfield and HELLMAN, Martin E., "New Directions in Cryptography", IEEE Transaction on Information Theory, Vol. RR22, No. 6, November 1976, pp. 644-653
	DIFFIE, Whitfield and HELLMAN, Martin E., "Privacy and Authentification: An Introduction to Cryptography", Proceedings of the IEEE, Vol. 67, No. 3, March, 1979, pp. 397-427
	Electronic Publishing Resources, Inc. "Protecting Electronically Published Properties Increasing Publishing Profits", Chevy Chase, Maryland, pp. 1-8
	GOULDE, Michael A., "Unix and NT Challenge", Computerworld, July 28, 1997, pp. 1-3
	GUILLOU, Louis C., "Smart Cards and Conditional Access", Advances in Cryptology – Proceedings of EUROCRYPT 84, Editions Springer-Verlag, 1985, pp. 480-489
	HARRISON, David R., "Client/Server Operating Systems: Challenges on All Fronts", Network Computing, No. 508, 1994, pp. 1-3
	HERZBERG, Amir and PINTER, Shlomit S., "Public Protection of Software", ACM Transactions on Computer Systems, Vol. 5, No. 4, November 1987, pp. 371-393
+	KENT, Stephen Thomas, "Protecting Externally Supplied Software in Small Computers", Laboratory for Computer Science, Massachusetts Institute of Technology, September, 1980, pp. 1-253

010	F Jeigh	
. YAM	0.5 7010	Sheet 3of 3
Ser.	TRACEMAN	VARIAR, Ganesh, "The Origin of Data", IntelligentEnterprise, from the Internet at www.intelligententerprise.com/020201/503feat3_1.shtml, February 1, 2002, ps. 1-6
		WEINGART, Steve H., "Physical Security for the µABYSS System", IBM Thomas J. Watson Research Center, Yorktown Heights, New York, 1987
	k	WHITE, Steve R. and COMERFORD, Liam, "ABYSS: A Trusted Architecture for Software Protection", IBM Thomas J. Watson Research Center, Yorktown Heights, New York, 1987, pp. 38-51
	Examiner:	Show Time of Date Considered: 5/5/03

RECEIVED
MAY 0 8 2003
GROUP 3600